

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/753,747

Salt Lake City, UT 84101

12/27/2000

Alma K. Schurig

2729.2.7

**CONFIRMATION NO. 1897** 

FORMALITIES LETTER

Hal D. Baird
PATE PIERCE & BAIRD
Bank One Tower
50 West Broadway, Suite 900

Date Mailed: 05/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/08/2001 AZERGAW1 00000019 201430 09753747

01 FC:201 355.00 CH 02 FC:203 225.00 CH 03 FC:202 80.00 CH 04 FC:205 65.00 CH FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$610.
  - \$450 for 25 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1450.

The following item(s) appear to have been omitted from the application:

- Figure(s) 6A, 6B described in the specification.
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

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Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21	(08-00)
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Please type a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0031 AU6

Under the Paperwork Reduction Act of 1995, no	persons are n		k Office: U.S. DEPARTMENT OF COMMERCE mation unless it displays a valid OMB control number.		
		Application Number	09/753,747		
RANSMITTAL FORM		Filing Date	December 27, 2000		
(to be used for all correspondence after initial filing)		First Named Inventor	Schurig, Alma K.		
		Group Art Unit	2631		
		Examiner Name	Unassigned		
Total Number of Pages in This Submission	1	Attorney Docket Number	021180-2.10		
	ENCL	OSURES (check all that apply)			
Fee Transmittal Form	Assignment Papers (for an Application)		After Allowance Communication to Group		
Fee Attached	☐ Drawin	ng(s)	Appeal Communication to Board of Appeals and Interferences		

		ENCLOSURES	(check all that apply)	
Fee Transmittal F	orm	Assignment Par		After Allowance Communication to Group
Fee Attached		Drawing(s)	•	Appeal Communication to Board of Appeals and Interferences
Amendment / Res	sponse	Licensing-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final		Petition Routing and Accompany	Slip (PTO/SB/69) ring Petition	Proprietary Information
Affidavits/dec	laration(s)	Petition to Conv Provisional App	-	Status Letter
Extension of Time	Request	Power of Attornation Change of Corre	ey, Revocation espondence Address	Other Enclosure(s) (please identify below):
Extension of Time Request  Express Abandonment Request  Information Disclosure Statement		☐ Terminal Disclaimer ☐ Request for Refund		Statement Under 37 CFR 3.73(b);     Declaration and Power of Attorney;     Verified Statement Claiming Small Entity Status     Copy of Notice to File Missing Parts of
		CD, Number of	CD(s)	Nonprovisional Application; 5) Preliminary Amendment; and 6) Return Receipt Postcard
Certified Copy of Document(s)	Priority	Remarks	The Commissioner is a Deposit Account 20-14	authorized to charge any additional fees to l30.
Response to Miss Incomplete Applic			I	
Response to I Parts under 3 1.52 or 1.53			·	
	SIGNA	TURE OF APPLIC	ANT, ATTORNEY, OI	RAGENT
Firm		wnsend and Crew LLF		
and Individual name	Kenneth R. Allen		Reg No.	27,301
Signature	Kenner	the R. Alle		
Date	July 30, 2001	,	•	

Individual nar	ne   Kermen K. / Wen	1.09 1.0. 27,001
Signature	Kenneth R.	Allen
Date	July 30, 2001	·
	(	CERTIFICATE OF MAILING
		eposited with the United States Postal Service with sufficient postage as first commissioner for Patents, Washington, D.C. 20231 on this date:  July 30, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time wall vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

July 30, 2001

Date

PA 3160233 v1

Signature

Typed or printed name

Djane E. Hawley

PTO/SB/17 (09-00) use through 10/31/2002. OMB 0651-0032 Patent and Tradema ice: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL** for FY 2001

TOTAL AMOUNT OF PAYMENT

Patent fees are subject to annual revision.

755

		/
	Complete if Known	
Application Number	09/753,747	
Filing Date	December 27, 2000	,
First Named Inventor	Schurig, Alma K.	
Examiner Name	Unassigned	
Group Art Unit	2631	
Attorney Docket No.	021180-2.10	

	ME	THOD OF PAYMEN	Т					FEE C	ALCULATION (continued)	
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:			3. ADI	DITIONAL	. FEES					
Deposit			over payments	s to:	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Account Number	20-14	30			105	130	205	65	Surcharge - late filing fee or oath	
Deposit					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account	Townsen	d and Townsend an	d Crew LLP		139	130	139	130	Non-English specification	
Name					147	2,520	147	2,520	For filing a request for reexamination	
_	CFR 1.16 an	d 1.17			112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	: claims small CFR 1.27	entity status.			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	1
	ent Enclose	d:			115	110	215	55	Extension for reply within first month	55
☐ Check	☐ Credit			er	116	390	216	195	Extension for reply within second month	
		Order			117	890	217	445	Extension for reply within third month	<b></b>
1. BASIC F	ILING FEE	E CALCULATION			118	1,390	218	695	Extension for reply within fourth month	
Large Entity		ity			128	1,890	228	945	Extension for reply within fifth month	
Fee Fee	Fee Fe				119	310	219	155	Notice of Appeal	
Code (\$)	Code (\$)			ee Paid	120	310	220	155	Filing a brief in support of an appeal	L
101 710	201 35	, ,		355	121	270	221	135	Request for oral hearing	<u></u>
106 320 107 490	206 166 207 245		e		138	1,510	138	1,510	Petition to institute a public use proceeding	
108 710	208 359	Reissue filing fo	ee		140	110	240	55	Petition to revive – unavoidable	<u> </u>
114 150	214 75	Provisional filin	g fee		141	1,240	241	620	Petition to revive – unintentional	<u></u>
	CHET	OTAL (1)	[ (8	\$)355	142	1,240	242	620	Utility issue fee (or reissue)	<u> </u>
	3061	OTAL (I)	<u> </u>	<i>)</i> 333	143	440	243	220	Design issue fee	
2. EXTRA CLAI	M FEES				144	600	244	300	Plant issue fee	
			ee from	Fee	122	130	122	130	Petitions to the Commissioner	
otal Claims 45	-20**	= Claims x b	\$9 = [	Paid \$225	123	50	123	50	Petitions related to provisional applications	
dependent aims 5	-3**	= 2 X	\$40 =	\$80	126	180	126	180	Submission of Information Disclosure Stmt	
ultiple ependent		x [	= [		581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Entity Fee Fee	Fee	Entity Fee Fee Descrip	ation		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$) 103 18	203	9 Claims in ex	cess of 20		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102 80		•	t claims in exce		179	710	279	355	Request for Continued Examination (RCE)	
104 270 109 80		40 ** Reissue in	Multiple dependent claim, if not paid ** Reissue independent claims over		169	900	169	900	Request for expedited examination of a design application	
110 18		onginai pate  ** Reissue c	laims in excess	s of 20 and	Other fee (specify)					
		over original SUBTOTAL (2)	(\$)305			mmissio			ed to charge any additional fees to unt.	
		300.31N= (1)	(4)300			ed by Ba	•			

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Kenneth R. Allen	Registration No. (Attorney/Agent)	27,301	Telephone	650-326-2400	
Signature	Kennet	L. Allen		Date	July 30, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.